



MONEY BETTER

INDIVIDUAL CLIENT APPLICATION FORM

Before completing this application form, please ensure that you have obtained and read the information regarding the products and services provided by SCM DMA (Mauritius) Ltd (“DMA”) and all relevant terms and policies made available, and updated from time to time on our website (www.dmamu.com). Once completed, this form and any supporting documents should be sent to DMA at the business address below or alternatively please email a scanned copy to: applications@dmamu.com

PLEASE COMPLETE THE FORM IN BLOCK LETTERS AND IN BLACK OR BLUE INK. ALL ITEMS MARKED WITH * **MUST BE PROVIDED**.

To comply with the Foreign Account Tax Compliance Act (FATCA), DMA is required to obtain a US tax certificate (W-8 or W-9 series as appropriate) from all clients. Without the appropriate tax certificate in place we will not be able to provide an account.

For an overview of all available forms, DMA strongly recommends that you visit the IRS website or consult your external tax advisor.

SCM DMA (Mauritius) Ltd, C2-401 4th Floor, Grand Baie La Croisette,
Grand Baie, Mauritius

Company Registration No.: C151685
An Authorised Financial Service Provider

INDIVIDUAL CLIENT APPLICATION FORM

ALL ITEMS WITH * MUST BE PROVIDED.

Part 1 | Personal Details

NAME AS ON IDENTITY DOCUMENT / PASSPORT

*Title: Mr Mrs Miss Ms Dr

*Town / City of Birth:

*Forenames:

*Country of Birth:

*Surname:

*Nationality:

*Identity or Passport No.:

*Do you hold multiple nationalities? If so please list:

*Date of Birth: / /

*Which countries are you resident in for tax purposes? Please list all:

*Tax No.:

US Tax Identification No.:
(if applicable)

***Other Tax Identification No.:**
(if applicable)

*IF YOU HAVE BEEN AT YOUR CURRENT ADDRESS FOR LESS THAN 3 YEARS, PLEASE ALSO PROVIDE YOUR PREVIOUS ADDRESS.

*Current Residential Address:

*Previous Residential Address:

*Flat No. / Building Name:

*Flat No. / Building Name:

*House Name / No. & Street:

*House Name / No. & Street:

*Town / City:

*Town / City:

*Province:

*Postcode:

*Province:

*Postcode:

*Country:

*Country:

*Please state the currency of the main account:

The default currency will be USD if this is left blank.

Part 2 | Contact Details

*Primary Telephone No.: (including country and area code)

Secondary Telephone No.: (including country and area code)

*E-mail Address:

ALL ITEMS WITH * MUST BE PROVIDED.

Part 3 | Sources of Funds & Employment Details

*Please indicate all source(s) of your funds for trading:
(select by ticking all that apply)

- Employment
- Pension(s)
- Inheritance
- Self-employed business
- Savings & Investments only
- Other, please detail:

*Employer Name:

*Nature of Business:

*Job Title:

If you have indicated that a source of funds is "Self-employed", please confirm the business details.

*Business Name:

*Estimated Annual Turnover:

*Nature of Business:

Part 4 | Financial Details

Please include the currency of the financial details given below:

*Monthly income after tax:

*Monthly outgoings: (living expenses, mortgage & other payments)

*Estimated value of all savings & investments: (i.e. excluding any property ownership value)

*Estimated value of investment with DMA over next 3 years, inclusive of initial deposit:

Part 5 | Trading Knowledge & Experience

*How long have you continuously traded financial products on an execution-only basis?

- Less than 1 year
- 1 - 5 years
- More than 5 years

*Do you have an industry recognised qualification for the type of trading that you intend to carry out with us?

- Yes
- No

*Do you work, or have you worked in the financial sector for at least one year in a professional position which requires knowledge of the nature and risk involved in the type of trading that you intend to carry out with us?

- Yes
- No

*Please indicate the number of trades you have undertaken in each of the following products on an execution-only basis during the last 12 months?

APPROXIMATE NON-MARGINED PRODUCTS

- Shares:
- ETFs & ETCs:
- Gilts & Bonds:

MARGINED PRODUCTS

- FX Rolling Spot:
- Options & Futures:
- CFDs:
- Financial Spreads Bets:

ALL ITEMS WITH * MUST BE PROVIDED.

Part 6 | Bank Details

THE FOLLOWING BANK DETAILS WILL BE USED FOR DEPOSITS AND WITHDRAWALS INTO AND OUT OF DMA.

*Account Name:

*Bank Name:

*Bank Address:

*Swift ID (BIC):

*Clearing Code:

*Branch Name (or city):

*Account Number or IBAN:

DMA does not accept or make 3rd party payments. Third Party funds received will be returned to remitter by our banking partner per our General Business Terms.

Please submit a suitable proof of bank account in the form of a letter from the bank or statement reflecting account name and number in Applicant name.

ALL ITEMS WITH * MUST BE PROVIDED.

Part 7 | Signature & Declarations

Data Protection:

To comply with Money Laundering Regulations, DMA is required to collect information and to undertake checks on your identity and residential address, including accessing and using information held in a database or other electronic format through other agencies.

In carrying out electronic verifications, other agencies may retain a record of the inquiry and information given to them. DMA may also request further supporting documentation from you to verify these details. Your information may be disclosed to law enforcement agencies and other relevant organisations for crime detection and prevention purposes. DMA will add your personal data and details (including email details) to the database of DMA which includes entities that are outside the EEA. A list of entities within the Group can be found on www.dmamu.com. DMA may exchange information or share your personal data with the firm or person who introduced you to us in order to provide the service to you. DMA may occasionally contact you by email, telephone or post to give information about products and services offered that are similar or related to the products and services provided or previously provided to you.

If you do not consent to the use of your personal data in this way, we are unable to consider your application. By submitting the completed application form to us, you consent to the use of your information as indicated.

Declaration:

I declare that:

- I have read and understood the nature and the risk of the product(s) that are intended to be traded in this account;
- I act in my name as specified on this application form and not on behalf of another party in respect of all matters related to this account. Accordingly, all funds to be deposited and transacted on the account are my own;
- I am not aware that I have any health or other conditions that may affect the proper operation of the account by me;
- I have provided true, accurate and complete information and undertake to update DMA of any changes to the information provided without delay when changes occur;
- I accept that in certain circumstances DMA will be obliged to share information with Mauritian tax authorities in terms of law, who may pass it on to other tax authorities;
- I have obtained from the website (www.dmamu.com), read and understood the following:
 1. The General Business Terms (including the product risks disclosure detailed in Schedule 1 to these General Business Terms),
 2. Order Execution Policy,
 3. Conflict of Interest Policy,
 4. Commission, Charges & Margin Schedule,
 5. Market Conduct Information.
- I consent to the Order Execution Policy and for any orders to be executed outside a regulated market or a multilateral trading facility;
- I consent for any of unexecuted limit orders not to be made public; and
- I accept and agree to be bound by the terms provided above and consent to such terms and information including future updates to these be provided to me by way of posting on the website indicated above.

*Date: / /

Full Name:

NAME AS ON IDENTITY DOCUMENT / PASSPORT

Signature: